

FORM PTO-1062

Case Docket No. 984

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Honorable Commissioner of Patents and Trademarks  
Washington, DC 20231

UTILITY PATENT TRANSMITTAL LETTER

J1000 U.S. PTO  
10/058643  
01/28/02

Transmitted herewith for filing the patent Application of  
Inventor: Anthony Nocella, III  
For (Title): Umbrella With Illuminated Shaft

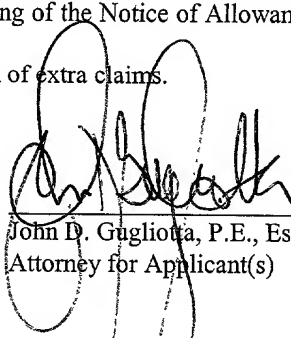
Enclosed are:

- ☒ 2 sheets of drawing;  
☐ An assignment of the invention to \_\_\_\_\_;  
☒ A certified copy of a Utility patent application;  
☒ An associate power of attorney;  
☒ A verified statement to establish small entity status under 37 CFR § 1.9 and 37 CFR § 1.27;  
☒ And Information Disclosure Statement Transmittal [substitute for form PTO-1449], along with copies of the IDS citations.

The filing fee has been calculated as shown below:

Basic Filing Fee for Small Entity:	\$ 370.00
Filing Fee for Additional Claims (over 20)	\$ 0.00
Filing Fee for Additional Independent	
Claims (over 3)	\$ 0.00
Surcharge for Multiple Dependent Claim Presented	\$ 0.00
<b>TOTAL</b>	<b>\$ 370.00</b>

- ☐ Please charge my Deposit Account No.07-2380 in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- ☒ A check in amount of \$ 370.00 to cover the filing fee is enclosed.
- ☐ Please charge credit card account according to form PTO-2038, a completed copy of which is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.07-2380. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No.07-2380. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).
- ☒ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.

  
John D. Gugliotta, P.E., Esq.  
Attorney for Applicant(s)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

ANTHONY NOCELLA II

Title

UMBRELLA WITH ILLUMINATED SHAFT

Atty Docket Number

984

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1-25-02

Date

Anthony Nocella II

Signature

ANTHONY NOCELLA II

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement.** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231**